



INTERNAL
AUDIT TEAM
Toronto and Area Region

Toronto Catholic District School Board

Status Update for Audit Committee

January 2019

Staffing: The RIAT currently has vacancies in one of the RIAT Manager positions and one of the Regional Internal Audit Specialist positions. We are currently in the process of recruiting for both of these roles.

Audit Plan Update: The following is the status of engagements included in the Toronto Catholic District School Board's Regional Internal Audit Plan for the 2018/19 year.

#	Audits	Status
1	IT Assets	<p>The high-level objectives and scope for this engagement are to assess the processes surrounding IT asset management at the schools (staff and students) and administrative level including the controls surrounding approval, purchasing, deployment, retirement and recording/monitoring of IT related assets (e.g. computers, tablets).</p> <p>The onsite fieldwork for this engagement is now complete. Once documentation and review of the fieldwork is complete, a closing meeting will be scheduled.</p>
2	Records Management	<p>The high-level objectives and scope for this engagement are to determine whether the network of the records management lifecycle as designed and implemented by the Board, is adequate and functioning.</p> <p>This outsourced audit is currently planned for early spring 2019. The RIAT is drafting the RFP, which will reflect the scope developed in consultation with management.</p>



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3	Payroll Follow Up	<p>The objective of the follow-up engagement is to assess that controls are in place and are operating effectively to mitigate the risks associated with findings identified in the initial audit report.</p> <p>This engagement is currently planned for early spring 2019.</p>
4	Three (3) year Risk Assessment/Internal Audit Plan	<p>The multi-year audit plan will be developed for the three-year period 2019-2022 and will consider the previous assurance coverage across the School Board Audit Universe.</p> <p>Preliminary surveys for this engagement were issued to process owners in December 2018, with the majority of surveys now returned. The RIAT is currently reviewing the responses and will be scheduling detailed interviews, where necessary, for February 2019.</p>