



INTERNAL AUDIT TEAM

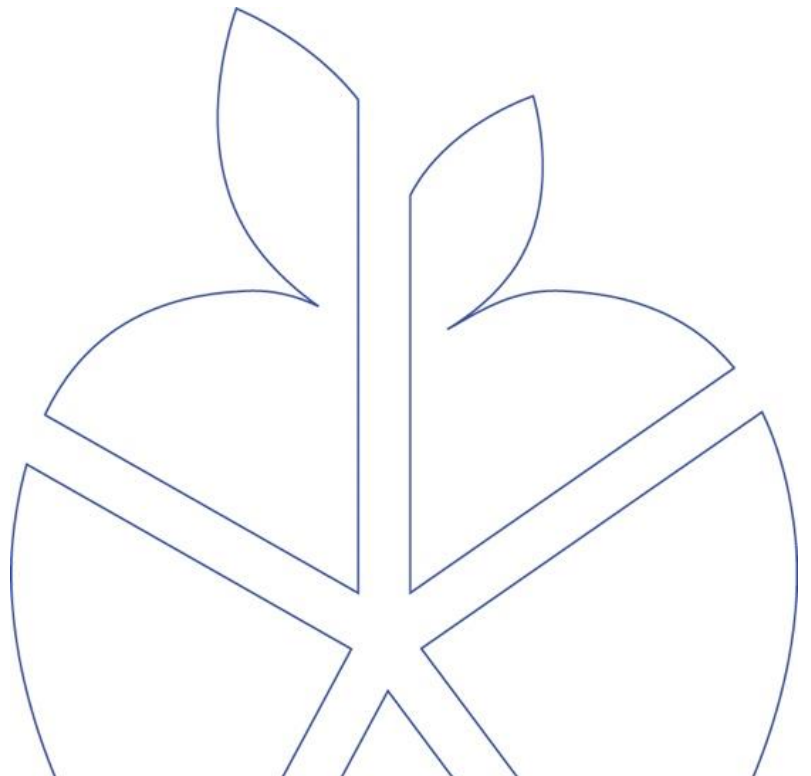
Toronto and Area Region

Status Update for Audit Committee: January 2021

Toronto Catholic District School Board

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Audit Plan Update:

The following is the status of the outstanding engagement from the Toronto Catholic District School Board's 2018-19 Regional Internal Audit Plan.

Audits	Status
IT Asset Management, including Student Device Distribution during COVID-19	A response to the original report has been received. The RIAT is currently working with management to complete the additional review of student device distribution during COVID-19. The results of this review will be issued together with the original report.

Status of engagements included in the 2019-20 Regional Internal Audit Plan.

Audits	Status
Strategic Workforce Planning - Phase 1	Fieldwork is currently underway for the first phase of this engagement. This first phase (consulting engagement) consists of a critical position analysis for the Capital Development and Asset Renewal, Planning and Development, and Business Services functional areas. The RIAT has developed a tool to help identify critical positions, which has been provided to management to obtain pertinent information on each position.
Usage of Schools Analysis	This report has been completed and issued and will be discussed at the January 2021 Audit Committee meeting.
Follow-up Activities	The RIAT is currently confirming with management the status of open audit findings from all RIAT reports previously issued to the Board. Future follow-up work will be selected based on the results of this update.

2020-21 Audit Plan

The following is the status of engagements included in the Toronto Catholic District School Board's 2020-21 Regional Internal Audit Plan. While the kick-off of these engagements had been delayed due to COVID-19, planning has now started on the two main audits.

Engagement	Preliminary Scope & Status
Privacy Audit	<p>Assess the current practices in place to protect sensitive information obtained by the school board, identifying areas for process improvements and minimization of risks. Sensitive information could include, but is not limited to, student and employee records.</p> <p>Privacy audits will take place at four Boards. All engagements are currently being planned.</p>
Accounts Payable and Expense Audit	<p>Assess controls in place and analyze accounts payable and expense data to identify potential issues and areas for process improvement (i.e. duplicate vendors, unusual payments).</p> <p>Planning for this engagement is underway. A kick-off meeting was scheduled for January 20th.</p>
Follow-up	<p>Follow-up work will be selected based on the status update of previous audit findings that is currently being obtained.</p>