



**INTERNAL  
AUDIT TEAM**  
Toronto and Area Region

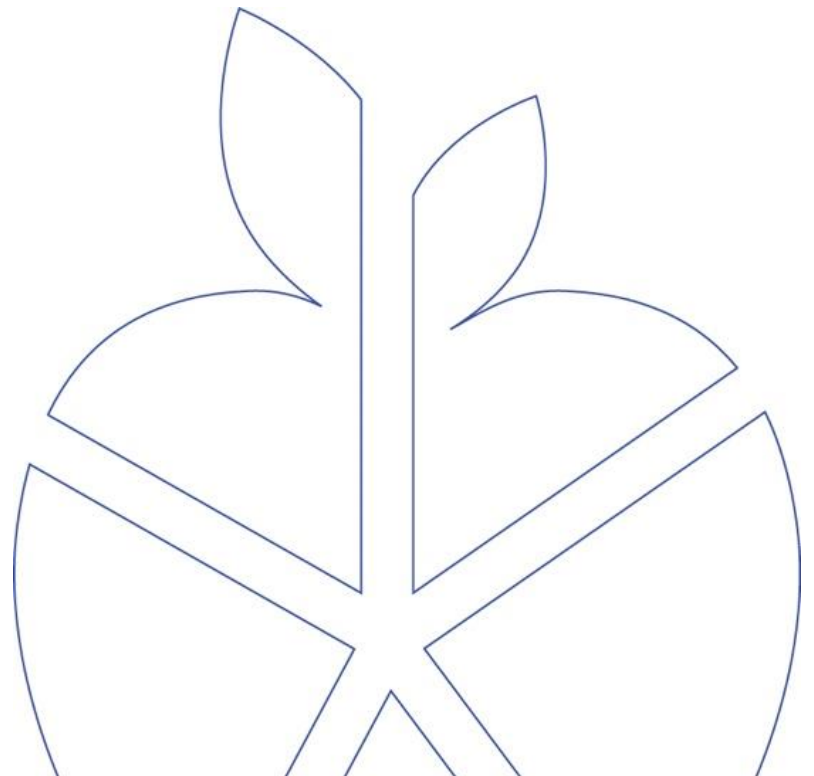
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## **Status Update for the Audit Committee: September 2021**

Toronto Catholic District School Board

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## Audit Plan Update – Engagements Currently Underway

The table below outlines the status of the RIAT engagements currently ongoing at Toronto Catholic District School Board. For the remainder of 2021, the RIAT will concentrate on completing these engagements in progress.

| <b>Plan Year</b> | <b>Engagement</b>  | <b>Status</b>   |
|------------------|--|---|
| 2019-20          | Strategic Workforce Planning - Phase 1: Critical Position Analysis (Capital Development & Asset Renewal, Planning & Development, and Business Services functional areas) | RIAT is in the process of finalizing this engagement for the Capital Development & Asset Renewal and the Business Services functional areas. The results are expected to be provided at the November 2021 Audit Committee meeting. The Planning and Development functional area has been excluded from this engagement due to time constraints and other priorities. Management may consider completing the analysis at a later time. |
| 2020-21          | Accounts Payable and Expense Audit   | The RIAT is finalizing fieldwork for this engagement and drafting a preliminary report for management review. The results are expected to be provided at the November 2021 Audit Committee meeting.   |
| N/A              | Follow-up on previous audit findings and recommendations   | The RIAT will be working with management to review the register of previous audit findings and recommendations to determine the follow-up work to be undertaken. An update will be provided at a future Audit Committee meeting.  |

## Audit Plan Update – Planned Engagements Not Yet Started

The engagements in the table below were included in the 2020-21 and 2021-22 RIAT plans, agreed to by the Audit Committee in May 2019, but have not started. During the next few months, the RIAT will work with management to determine whether the planned engagements remain relevant and will provide sufficient value given the following changes and other considerations since the original approval:

- Significant turnover in the Director’s Council over the last year may have resulted in a different view of the board’s key risks and the perception of the value of planned engagements.
- The pandemic has changed the risk profile of all boards in the province.
- As the first phase of the Strategic Workforce Planning engagement is still underway, it may be premature to undertake a second phase at this point.

A refreshed two-year plan will be presented to the Audit Committee for approval in January 2022. The RIAT is moving to a two-year plan to improve responsiveness to changes in risk both at the board and across the sector.

Current year engagements will begin once the updated RIAT plan is approved.

| Plan Year | Engagement                             | Preliminary Objective per RIAT Plan  |
|-----------|--|--|
| 2020-21   | Privacy Audit                          | Assess the current practices in place to protect sensitive information obtained by the school board, identifying areas for process improvements and minimization of risks. Sensitive information could include, but is not limited to, student and employee records. |
| 2021-22   | Strategic Workforce Planning - Phase 2 | Phase 2 objective and scope to be determined based on Phase 1 results and recommendations.   |
| 2021-22   | IT Security Review                     | Assess the current IT Security environment and provide recommendations for developing an IT Security policy.   |